PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. work Reduction Act of 1995, no persons are required to respond to **Application Number** 10/7272,834 **TRANSMITTAL** Filing Date December 4, 2003 **FORM** First Named Inventor Ulrich Bonne Art Unit 2856 (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number H0004834(1100.1205101) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Certification for Information Disclosure Statement Under 37 CFR 1.97(e) Form PTO 1449 listing 3 references cited on the International Search Report Response to Missing Parts/ 1 non-US patent reference Incomplete Application Return Postcard Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John G. Shudy, Jr., Reg. No. 31,214 Individual name Signature Date July 30, 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

the date shown below.

Typed or printed name	Lynn Thompson		
Signature	you Thompson	Date	July 30, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ulrich Bonne et al.

Confirmation No.:

Serial No.:

10/727,834

Examiner:

Filing Date:

December 4, 2003

Group Art: 2856

For:

COMPACT OPTO-FLUIDIC CHEMICAL SENSOR

Docket No.:

H0004834(1100.1205101)

<u>CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.97(e)</u>

Mail Stop Amendment Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 30th day of July, 2004.

By: John G. Shudy, Jr.

Dear Sir:

- 1. This certification is being made for the Information Disclosure Statement accompanying this certification.
 - 2. I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).

Respectfully submitted,

Ulrich Bonne et al.

By their Attorney,

Date: 7/30

John G. Shudy, Jr., Reg. No. 31,214

CROMPTON, SEAGER & TUFTE, LLC

331 Second Avenue South, Suite 895 Minneapolis, Minnesota 55401-2246

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ulrich Bonne et al.

Confirmation No.:

Serial No.:

10/727,834

Examiner:

Filing Date:

December 4, 2003

Group Art: 2856

For:

COMPACT OPTO-FLUIDIC CHEMICAL SENSOR

Docket No.: H0004834(1100.1205101)

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Mail Stop Amendment **Assistant Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 30th day of July, 2004.

ohn G. Shudy, Jr.

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Ulrich Bonne et al.

By their attorney,

Dated: 7/30/04

John G. Shudy, Jr., Reg. No. 31,214

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349

FORM PTO-1449 O P C P	Atty. Docket No.: H0004834 (1100.1205101)	Serial No.: 10/727,834
LIST OF PATENTS AND PUBLICATION	Applicant: Illrich Ro	onne et al.
APPLICANT'S INFORMATIO DISCLOSURE STATEMENT	N Filing Date:	Group Art: 2856

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	
	5,039,492	08/1991	Saaski et al.	
	5,239,175	08/1993	Jawad et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation
				Yes No
	2208707 A	04/1989	GB	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

OTTER ART (including Author, Title, Date, Fertillent Fages, etc.)		
	48.4	
EXAMINER:		
	D	ATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.